

04/16/2003

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APR 28 2003  
TECH CENTER 1600/2900

**WILLIAMS, MORGAN & AMERSON, P.C.**

10333 Richmond, Suite 1100, Houston, TX 77042  
(713) 934-7000 Fax (713) 934-7011

**Fax**

<b>To:</b>	U.S. Patent Office	<b>From:</b>	Mark D. Moore, Ph.D.
<b>Fax:</b>	703-746-9195	<b>Phone:</b>	713-934-4084
<b>No. of Pages:</b>	25	<b>Date:</b>	April 16, 2003
<b>Re:</b>	S/N 09/877,340	<b>File:</b>	4421.000200

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\* w/o coversheet

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• Comments:

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
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TECH CENTER 1600/2900

4421.000200

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/877,340	06/08/2001	Bock, Thomas	4420.000600

23720

WILLIAMS, MORGAN & AMERSON, P.C.  
10333 RICHMOND, SUITE 1100  
HOUSTON TX 77042

DOCKETING DEPT.

APR 08 2003

WILLIAMS, MORGAN &amp; AMERSON

Title: Viral variants and uses therefor

Sequence Listing  
DOCKETED  
FOR 47803  
BY  
CHUDY  
Request for  
Substitute  
Papers

Date Mailed:04/04/2003

## Request for Substitute Papers

The papers filed on 03/31/03 with CD (certificate of mailing dated 03/24/03) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated ~~703-746-1060 (OIDE)~~ 703-746-9195.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Mark D. Moore Registration No.: 42,903

Signature: [Signature] Date: 4/16/03

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
THOMAS BOCK  
HANS TILLMAN  
MICHAEL MANNS  
CHRISTIAN TRAUTWEIN  
STEPHEN ALISTER LOCARNINI  
JOSEPH TORRESI

Group Art Unit: 1648

Examiner: Bao Q. Li

Atty. Dkt. No.: 4421.000200  
(formerly 4420.000600)

Serial No.: 09/877,340

Filed: June 8, 2000

For: VIRAL VARIANTS AND USES  
THEREFOR

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE  
SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Assistant Commissioner for Patents  
Washington, D.C. 20231

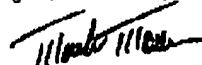
Sir:

**CERTIFICATE OF MAILING  
37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

March 24, 2003

Date



Mark D. Moore

In response to the Notice to Comply dated February 25, 2003, there are enclosed herewith:

- (a) Sequence Listing (on paper and computer disk);
- (b) Statement as Required Under 37 C.R.R. § 1.825(a) and (b) and Statement as Required Under 37 C.F.R. § 1.821(g);
- (c) Fourth Preliminary Amendment; and
- (d) A copy of the Notice to Comply.

It is believed that no fee is required in connection with this document. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Assistant Commissioner is authorized to deduct said fees from Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/4421.000200.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Date: March 24, 2003



23720

PATENT TRADEMARK OFFICE

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Mark D. Moore  
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AGENT FOR APPLICANTS

04/16/2003

12:29

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NO. 791

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DISK M/

DOCKET REFERENCE NO.: 4421.000200  
APPLICANT: Thomas Bock, Hans Tillman,  
Michael Menns, Christian Trautwein, Stephen  
Alister Locarmini and Joseph Torresi  
TITLE: VIRAL VARIANTS AND USES  
THEREFOR  
SERIAL NO. 09/877,340  
DATE RECORDED ON DISKETTE: 24 MAR 2003  
COMPUTER: IBM PC COMPATIBLE  
OPERATING SYSTEM: PC-DOS/MS-DOS/ASCII  
SOFTWARE: PatentIn version 3.1

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